

Schedule of audit recommendation follow-up activity as at 23 January 2020

Audit	Recommendation	Management Responses	Target Dates	Status
Key Financial Controls 2018-19	<p>The budget-setting process should incorporate:</p> <ul style="list-style-type: none"> Stakeholder input to ensure alignment with operational requirements; Clear linkage with the Medium-Term Financial Plan and Workforce Plan; Local arrangements for scrutiny; Retention of documentation to reflect key elements of the process e.g. meetings between Finance Business Partners and budget holders and working papers to support budget calculations. <p>Reference: MK2998</p> <p>Assurance Rating: Red</p>	<p>Initial Response</p> <p>The Medium-Term Financial Plan is currently being developed with regular involvement of and weekly challenge from the Corporation. This detailed work will form the basis of the annual budget for 2019/20. Between September and March there will be regular meetings between Finance Business Partners and Budget Holders with an agenda agreed by the Finance Director. All actions will be recorded centrally by the Finance Directors PA.</p>	<p>Original 31 March 2019</p> <p>Revised 30 April 2020</p>	<p>NOT YET IMPLEMENTED</p> <p>The budget setting process for 2020/21 will address this recommendation. The process will start in September 2019 and flow through to the start of the new financial year.</p> <p>The revised implementation date is April 2020. In view of this it will be appropriate to include an audit of budget setting processes within the 2020/21 Internal Audit Plan.</p>

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<p>Key Financial Controls 2018-19</p>	<p>The potential for introduction of a finance dashboard, providing a high-level overview of the monthly management accounts, should be investigated by CoLP in conjunction with the Chamberlain's Financial Services Decision.</p> <p>Reference: MK3005</p> <p>Assurance Rating: Amber</p>	<p>Initial Response</p> <p>Work has already started on improving management information provided to budget holders. This work will be developed further with the corporation to see if a dashboard can be developed through the Corporations Oracle platform.</p>	<p>Original 31 March 2019</p> <p>Revised 31 March 2020</p>	<p>PARTIALLY IMPLEMENTED</p> <p>The monthly reporting pack is being developed further to provide more meaningful information.</p> <p>The development of a meaningful dashboard in Oracle needs to be taken forward with the Corporation.</p> <p>Revised Implementation dates are as follows: August 2019 for further developed reporting pack.</p> <p>March 2020: for Oracle dashboard.</p>

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<p>Interpreter Fees 2018-19</p>	<p>The NRPSI registration number should be recorded on the Interpreters Claim Form and checked as part of the claim process. Where the interpreter reference number cannot be included on the Claim Form, it should be annotated accordingly with the reason, before being submitted for payment. Where either the reference number is not quoted or an explanation not provided, the Form should be returned to the station using the interpreter for full completion. Where appropriate, the station should make arrangements for the interpreter to be added to the NRSPI, following completion of the usual checks.</p> <p>Reference: MK3203</p> <p>Assurance Rating: Amber</p>	<p>While the audit report acknowledges that the list of interpreters is managed by the MPS and that the Force is not responsible for vetting individuals or maintaining this list the recommendation highlights that the Force process should pick up where interpreter reference numbers are not provided the officer who uses the service should provide a note on the form submitted to payment so that Finance are aware of this issue. The Force accepts this recommendation</p>	<p>Original 31 October 2019</p>	<p>NOT YET IMPLEMENTED</p> <p>Internal Audit to review status during next follow up exercise.</p>

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<p>Interpreter Fees 2018-19</p>	<p>Claim Forms should be processed and sent to Finance – Accounts Payable in a timely manner to help ensure prompt payment. Claim forms should be batched and sent to Accounts Payable every two weeks rather than the current monthly process, to help ensure the interpreters are paid within 30 days.</p> <p>Reference: MK3204</p> <p>Assurance Rating: Amber</p>	<p><i>Initial Response</i></p> <p>The Force accepts this recommendation</p>	<p>Original 31 October 2019</p>	<p>NOT YET IMPLEMENTED</p> <p>Internal Audit to review status during next follow up exercise.</p>